

# MULTIPLE SIGN-CHANGING SOLUTIONS FOR SUPERLINEAR ( $p, q$ )-EQUATIONS IN SYMMETRICAL EXPANDING DOMAINS

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ABSTRACT. In this paper we study quasilinear elliptic equations defined on symmetrical expanding domains driven by the  $(p, q)$ -Laplacian and with a superlinear right-hand side. Based on the Lusternik-Schnirelmann category we prove the existence of at least  $\gamma(\Omega_\lambda \setminus \{0\})$  pairs  $(\pm u)$  of odd weak solutions with precisely two nodal domains, where  $\gamma$  stands for the genus.

## 1. INTRODUCTION

Let  $\Omega \subseteq \mathbb{R}^N$ ,  $N \geq 2$ , be a bounded domain with Lipschitz boundary  $\partial\Omega$  and let  $\Omega_\lambda := \lambda\Omega$  be an expanding domain, where  $\lambda$  is a positive parameter. In this paper we consider the following problem

$$\begin{aligned} -\Delta_p u - \mu \Delta_q u &= f(u) - |u|^{p-2}u && \text{in } \Omega_\lambda, \\ u &= 0 && \text{on } \partial\Omega_\lambda, \\ u(-x) &= -u(x) && \text{for a. a. } x \in \Omega_\lambda, \end{aligned} \tag{1.1}$$

where we suppose the following assumptions:

(H1)  $\mu > 0$  and  $1 < q < p < N$ .

(H2)  $f: \mathbb{R} \rightarrow \mathbb{R}$  is a continuous and odd function with primitive  $F(s) = \int_0^s f(t) dt$  satisfying the following conditions:

(i) there exist  $r \in (p, p^*)$  and a constant  $C > 0$  such that

$$|f(s)| \leq C(1 + |s|^{r-1}) \quad \text{for all } s \in \mathbb{R},$$

where  $p^* = \frac{Np}{N-p}$  is the critical Sobolev exponent to  $p$ ;

(ii)  $\lim_{s \rightarrow 0} \frac{f(s)}{|s|^{q-2}s} = 0$ ;

(iii)  $\lim_{|s| \rightarrow +\infty} \frac{F(s)}{|s|^p} = +\infty$ ;

(iv)  $\frac{f(s)}{|s|^{p-1}}$  is strictly increasing on  $(-\infty, 0)$  and on  $(0, \infty)$ .

A function  $u \in W_0^{1,p}(\Omega_\lambda)$  is said to be a weak solution of problem (1.1) if  $u(-x) = -u(x)$  for a. a.  $x \in \Omega_\lambda$  and if

$$\int_{\Omega_\lambda} \left( |\nabla u|^{p-2} \nabla u + \mu |\nabla u|^{q-2} \nabla u \right) \cdot \nabla v \, dx = \int_{\Omega_\lambda} (f(u) - |u|^{p-2}u) v \, dx$$

is satisfied for all  $v \in W_0^{1,p}(\Omega_\lambda)$ . The corresponding energy functional  $J_\lambda: W_0^{1,p}(\Omega_\lambda) \rightarrow \mathbb{R}$  for problem (1.1) is given by

$$J_\lambda(u) = \frac{1}{p} \|u\|_{1,p}^p + \frac{\mu}{q} \|\nabla u\|_q^q - \int_{\Omega_\lambda} F(u) \, dx \quad \text{for all } u \in W_0^{1,p}(\Omega_\lambda). \tag{1.2}$$

Under the assumptions in (H1) and (H2), it is clear that  $J_\lambda$  is well-defined and of class  $C^1$ .

The following theorem is our main result.

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**Theorem 1.1.** *Let hypotheses (H1) and (H2) be satisfied and let  $\Omega$  be symmetric with respect to the origin, that is,  $\Omega = -\Omega$ . Then there exists  $\lambda^* > 0$  such that, for any  $\lambda \geq \lambda^*$ , problem (1.1) has at least  $\gamma(\Omega_\lambda \setminus \{0\})$  pairs  $(\pm u)$  of odd weak solutions with precisely two nodal domains, where  $\gamma$  stands for the genus.*

The proof of Theorem 1.1 relies on the Lusternik-Schnirelmann category in combination with the odd symmetry invariant Nehari submanifold. As far as we know this is the first work dealing with a superlinear  $(p, q)$ -equation in expanding domains that has multiple sign-changing solutions obtained via the Lusternik-Schnirelmann category.

A starting point in the direct application of the Lusternik-Schnirelmann category to elliptic equations was the work of Benci-Cerami [11] who studied the problem

$$\begin{aligned} -\Delta u + \lambda u &= u^{p-1} && \text{in } \Omega, \\ u &> 0 && \text{in } \Omega, \\ u &= 0 && \text{on } \partial\Omega, \end{aligned} \tag{1.3}$$

where  $p \in (2, 2^*)$ . It is shown that problem (1.3) has at least  $\text{cat}(\Omega)$  solutions when  $p$  is close to  $2^*$ , where  $\text{cat}(\Omega)$  denotes the Lusternik-Schnirelmann category of  $\Omega$ . Motivated by this work and its used methods, Bartsch-Wang [9] treated nonlinear Schrödinger equations of the form

$$-\Delta u + (\lambda a(x) + 1)u = u^p, \quad u > 0 \quad \text{in } \mathbb{R}^N, \tag{1.4}$$

with  $1 < p < 2^* - 1$  and showed the existence of at least  $\text{cat}(\Omega)$  solutions of (1.4) when the parameter  $\lambda > 0$  is large enough, see also [8] of the same authors. Afterwards, the Lusternik-Schnirelmann category has been applied to several type of problems. We mention, for example, the works of Alves [2] for  $p$ -Laplace equations with expanding domains, Alves-Ding [3] for critical  $p$ -Laplace equations, Alves-Figueiredo-Furtado [4] for multiple solutions for nonlinear Schrödinger equations with magnetic fields, Benci-Bonanno-Micheletti [10] for elliptic equations on Riemannian manifolds, Cingolani [16] for nonlinear Schrödinger equations with an external magnetic field, Cingolani-Lazzo [17] for nonlinear Schrödinger equations, Figueiredo-Pimenta-Siciliano [20] for fractional Laplacian in expanding domains, Figueiredo-Siciliano [21] for fractional Schrödinger equations in  $\mathbb{R}^N$  and Wang-Tian-Xu-Zhang [26] for Kirchhoff type problems, see also the references therein. All these works are dealing with constant sign solutions.

For sign-changing solutions via the Lusternik-Schnirelmann category we refer to the paper of Castro-Clapp [14] in which the problem

$$\begin{aligned} \Delta u + \lambda u + |u|^{2^*-2}u &= 0 && \text{in } \Omega, \\ u &= 0 && \text{on } \partial\Omega, \\ u(\tau x) &= -u(x) && \text{for all } x \in \Omega \end{aligned} \tag{1.5}$$

was studied where  $\tau$  is a nontrivial orthogonal involution. For  $\lambda > 0$  to be small, the existence of pairs of sign-changing solutions which change the sign exactly once has been shown for problem (1.5). These results have been improved by Cano-Clapp [13]. Finally, we mention some results concerning problems with expanding domains, see, for example the papers of Ackermann-Clapp-Pacella [1] for alternating sign multibump solutions in expanding tubular domains, Alves-Figueiredo-Furtado [5] for complex equations, Bartsch-Clapp-Grossi-Pacella [7] for asymptotically radial solutions in expanding domains, Byeon-Tanaka [12] for multibump positive solutions in expanding tubular domains, Catrina-Wang [15] for Dirichlet Laplace problems in an expanding annulus, Dancer-Yan [18] for multibump solutions and Feireisl-Nečasová-Sun [19] for inviscid incompressible limits on expanding domains.

The paper is organized as follows. In Section 2 we recall some basic definitions and investigate the relation between the unit sphere and the odd symmetry invariant Nehari manifold. Section 3 is devoted to the (PS)-condition property and some needed estimates and in Section 4 we prove Theorem 1.1. Our results are combining ideas from the work of Alves [2], Castro-Clapp [14] and Catrina-Wang [15].

2. THE MAPPING BETWEEN  $\mathcal{S}_\pm^\circ$  AND  $\mathcal{N}_\pm^\circ$ 

We denote by  $L^s(\Omega)$  (resp.  $L^s(\Omega; \mathbb{R}^N)$ ) and  $L^s(\Omega_\lambda)$  (resp.  $L^s(\Omega_\lambda; \mathbb{R}^N)$ ) the usual Lebesgue spaces equipped with the norm  $\|\cdot\|_s$  for every  $1 \leq s < \infty$ . For  $1 < s < \infty$ ,  $W^{1,s}(\Omega)$  and  $W_0^{1,s}(\Omega_\lambda)$  stand for the Sobolev spaces endowed with the norm  $\|\cdot\|_{1,s}$ .

Let  $X$  be a Banach space and let  $\mathcal{A}$  be the class of all closed subsets  $B$  of  $X \setminus \{0\}$  which are symmetric, that is,  $u \in B$  implies  $-u \in B$ .

**Definition 2.1.** Let  $B \in \mathcal{A}$ . The genus  $\gamma(B)$  of  $B$  is defined as the least integer  $n$  such that there exists  $\varphi \in C(X, \mathbb{R}^n)$  such that  $\varphi$  is odd and  $\varphi(x) \neq 0$  for all  $x \in B$ . We set  $\gamma(B) = +\infty$  if there are no integers with the above property and  $\gamma(\emptyset) = 0$ .

**Remark 2.2.** An equivalent way to define  $\gamma(B)$  is to take the minimal integer  $n$  such that there exists an odd map  $\varphi \in C(B, \mathbb{R}^n \setminus \{0\})$ .

For a function  $u$ , from now on, we denote by  $u^+$  (resp.  $u^-$ ) the positive (resp. negative) part of  $u$ , that is

$$u^+ = \max(u, 0), \quad u^- = \min(u, 0). \quad (2.1)$$

Let

$$W_0^{1,p}(\Omega_\lambda)^\circ := \left\{ u \in W_0^{1,p}(\Omega_\lambda) : u(-x) = -u(x) \right\}.$$

We denote the Nehari manifold corresponding to (1.1) by

$$\mathcal{N}_\lambda := \left\{ u \in W_0^{1,p}(\Omega_\lambda) \setminus \{0\} : \langle J'_\lambda(u), u \rangle = 0 \right\}$$

and the odd symmetry invariant Nehari submanifold by

$$\mathcal{N}_\lambda^\circ := \{ u \in \mathcal{N}_\lambda : u(-x) = -u(x) \}.$$

It is clear that

$$\mathcal{N}_\lambda^\circ = \mathcal{N}_\lambda \cap W_0^{1,p}(\Omega_\lambda)^\circ.$$

Note that  $J_\lambda : W_0^{1,p}(\Omega_\lambda)^\circ \rightarrow \mathbb{R}$  is an even functional with  $(J_\lambda(-u))' = -J'_\lambda(u)$ . Therefore, if  $J_\lambda \in C^2$ , then the nontrivial solutions of (1.1) are the critical points of the restriction of  $J_\lambda$  to the odd symmetry invariant Nehari submanifold  $\mathcal{N}_\lambda^\circ$ . However, we only assume that  $f$  is continuous. This leads to  $J_\lambda \in C^1$  and the non-differentiability of  $\mathcal{N}_\lambda^\circ$ . To overcome these difficulties, we need the following two lemmas.

We write

$$\mathcal{S}^\circ = \left\{ u \in W_0^{1,p}(\Omega_\lambda)^\circ : \|u\|_{1,p} = 1 \right\}, \quad \mathcal{S}_\pm^\circ = \{ u^\pm : u \in \mathcal{S}^\circ \} \quad \text{and} \quad \mathcal{N}_\pm^\circ = \{ u^\pm : u \in \mathcal{N}_\lambda^\circ \}.$$

Then we can set up a one-to-one correspondence between  $\mathcal{S}_\pm^\circ$  and  $\mathcal{N}_\pm^\circ$  as follows.

**Lemma 2.3.** Let hypotheses (H1) and (H2) be satisfied.

- (i) For each  $w \in W_0^{1,p}(\Omega_\lambda)^\circ \setminus \{0\}$ , set  $h_{w^\pm}(t) = J_\lambda(tw^\pm)$  for  $t \geq 0$ . Then there exists a unique  $t_{w^\pm} > 0$  such that  $h'_{w^\pm}(t) > 0$  if  $0 < t < t_{w^\pm}$  and  $h'_{w^\pm}(t) < 0$  if  $t > t_{w^\pm}$ , that is,  $\max_{t \in [0, +\infty)} h_{w^\pm}(t)$  is achieved at  $t = t_{w^\pm}$  and  $t_{w^\pm} w^\pm \in \mathcal{N}_\pm^\circ$ .
- (ii) There exists  $\delta > 0$  such that  $t_{w^\pm} \geq \delta$  for  $w \in \mathcal{S}_\pm^\circ$  and for each compact subset  $\mathcal{W}^\circ \subseteq \mathcal{S}_\pm^\circ$  there exists a constant  $C_{\mathcal{W}^\circ}$  such that  $t_{w^\pm} \leq C_{\mathcal{W}^\circ}$  for all  $w \in \mathcal{W}^\circ$ .

*Proof.* (i) Let  $w \in W_0^{1,p}(\Omega_\lambda)^\circ \setminus \{0\}$  be fixed and define  $h_{w^\pm}(t) = J_\lambda(tw^\pm)$  on  $[0, \infty)$ . It is clear that  $h_{w^\pm}(0) = 0$ . From (H2)(i) and (H2)(ii) we know that for given  $\varepsilon > 0$  we can find  $C_\varepsilon > 0$  such that

$$|F(s)| \leq \varepsilon |s|^q + C_\varepsilon |s|^r \quad \text{for a. a. } x \in \Omega \text{ and for all } s \in \mathbb{R}. \quad (2.2)$$

Using (2.2) and the embedding  $W_0^{1,q}(\Omega_\lambda) \rightarrow L^q(\Omega_\lambda)$  with embedding constant  $C_q > 0$  we get for  $t > 0$

$$h_{w^\pm}(t) = J_\lambda(tw^\pm) = \frac{t^p}{p} \|w^\pm\|_{1,p}^p + \frac{\mu t^q}{q} \|\nabla w^\pm\|_q^q - \int_{\Omega_\lambda} F(tw^\pm) \, dx$$

$$\begin{aligned}
&\geq \frac{t^p}{p} \|w^\pm\|_{1,p}^p + \frac{\mu t^q}{q} \|\nabla w^\pm\|_q^q - \int_{\Omega_\lambda} (\varepsilon t^q |w^\pm|^q + C_\varepsilon t^r |w^\pm|^r) dx \\
&\geq \frac{t^p}{p} \|w^\pm\|_{1,p}^p + \left( \frac{\mu}{q} - C_q^q \varepsilon \right) t^q \|\nabla w^\pm\|_q^q - C_\varepsilon t^r \|w^\pm\|_r^r \\
&= C_1 t^p + C_2 t^q - C_3 t^r \quad \text{for } 0 < \varepsilon < \frac{\mu}{q C_q^q}
\end{aligned}$$

with  $C_1, C_2, C_3 > 0$ . Hence, for  $t > 0$  small enough we see that  $h_{w^\pm}(t) > 0$  due to  $q < p < r$ .

From hypothesis **(H2)(iii)** there exists for any  $M > 0$  a number  $T_M > 0$  such that

$$F(s) \geq M|s|^p \quad \text{for a. a. } x \in \Omega \text{ and for all } |s| > T_M. \quad (2.3)$$

Taking **(2.3)** into account, we have for  $t > 0$  large

$$\begin{aligned}
h_{w^\pm}(t) &= J_\lambda(tw^\pm) \leq \frac{t^p}{p} \|w^\pm\|_{1,p}^p + \frac{\mu t^q}{q} \|\nabla w^\pm\|_q^q - M \int_{\Omega_\lambda} t^p |w^\pm|^p dx \\
&= C_1 t^p + C_2 t^q - C_3 M t^p \\
&\leq -C_4 t^p + C_2 t^q \quad \text{for } M > \frac{C_1}{C_3},
\end{aligned}$$

with  $C_1, C_2, C_3, C_4 > 0$ . This implies that  $h_{w^\pm}(t) < 0$  for  $t$  large enough. Hence there exists  $t_{w^\pm} > 0$  such that  $h'_{w^\pm}(t_{w^\pm}) = 0$ . Note that

$$0 = h'_{w^\pm}(t) = t^{p-1} \|w^\pm\|_{1,p}^p + \mu t^{q-1} \|\nabla w^\pm\|_q^q - \int_{\Omega_\lambda} f(tw^\pm) w^\pm dx$$

implies  $tw^\pm \in \mathcal{N}_\pm^\circ$  and

$$\begin{aligned}
\|w^\pm\|_{1,p}^p &= \int_{\Omega_\lambda} \frac{f(tw^\pm) w^\pm}{t^{p-1}} dx - \frac{\mu}{t^{p-q}} \|\nabla w^\pm\|_q^q \\
&= \begin{cases} \int_{\Omega_\lambda^>} \frac{f(tw^+) w^+}{t^{p-1}} dx - \frac{\mu}{t^{p-q}} \|\nabla w^\pm\|_q^q, \\ \int_{\Omega_\lambda^<} \frac{f(tw^-) w^-}{t^{p-1}} dx - \frac{\mu}{t^{p-q}} \|\nabla w^\pm\|_q^q, \end{cases} \quad (2.4)
\end{aligned}$$

where

$$\begin{aligned}
\Omega_\lambda^> &= \{x \in \Omega_\lambda : w(x) > 0\}, \\
\Omega_\lambda^< &= \{x \in \Omega_\lambda : w(x) < 0\}
\end{aligned}$$

and  $w^+$  (resp.  $w^-$ ) is the positive (resp. negative) part of  $w$ , given in **(2.1)**. By **(H2)(iv)**, the right-hand side of **(2.4)** is a strictly increasing function in  $t$ . It follows that  $h_{w^\pm}(t)$  has a unique critical point. Therefore  $\max_{t \in [0, +\infty)} h_{w^\pm}(t)$  is achieved at the unique point  $t = t_{w^\pm} > 0$  so that

$h'_{w^\pm}(t_{w^\pm}) = 0$  and  $t_{w^\pm} w^\pm \in \mathcal{N}_\pm^\circ$ .

(ii) First, we prove that there exists  $\delta > 0$  such that  $t_{w^\pm} > \delta$  for any  $w \in \mathcal{S}_\pm^\circ$ . From **(H2)(i)** and **(H2)(ii)** we know that for given  $\varepsilon > 0$  we can find  $C_\varepsilon > 0$  such that

$$|f(s)| \leq \varepsilon |s|^{q-1} + C_\varepsilon |s|^{r-1} \quad \text{for a. a. } x \in \Omega \text{ and for all } s \in \mathbb{R}. \quad (2.5)$$

Let  $w^\pm \in \mathcal{S}_\pm^\circ$ . Using  $t_{w^\pm} w^\pm \in \mathcal{N}_\pm^\circ$ , **(2.5)** and the embeddings  $W_0^{1,q}(\Omega_\lambda) \rightarrow L^q(\Omega_\lambda)$ ,  $W_0^{1,p}(\Omega_\lambda) \rightarrow L^r(\Omega_\lambda)$  with embedding constants  $C_q, C_p > 0$  we obtain

$$\begin{aligned}
t_{w^\pm}^p \|w^\pm\|_{1,p}^p + \mu t_{w^\pm}^q \|\nabla w^\pm\|_q^q &= \int_{\Omega_\lambda} f(t_{w^\pm} w^\pm) t_{w^\pm} w^\pm dx \\
&\leq \varepsilon t_{w^\pm}^q \int_{\Omega_\lambda} |w^\pm|^q dx + C_\varepsilon t_{w^\pm}^r \int_{\Omega_\lambda} |w^\pm|^r dx \\
&\leq C_q^q \varepsilon t_{w^\pm}^q \|\nabla w^\pm\|_q^q + C_p^r C_\varepsilon t_{w^\pm}^r \|w^\pm\|_{1,p}^r.
\end{aligned}$$

Choosing  $\varepsilon \in (0, \frac{\mu}{C_p^q})$  and using the fact that  $\|w^\pm\|_{1,p} = 1/2$ , it follows that

$$\frac{t_w^\pm}{2^p} \leq t_w^p \|w\|_{1,p}^p + (\mu - C_p^q \varepsilon) t_w^q \|\nabla w\|_q^q \leq C_p^r C_\varepsilon \frac{t_w^\pm}{2^r}.$$

We take  $\delta = 2 \left( \frac{1}{C_p^r C_\varepsilon} \right)^{\frac{1}{r-p}} > 0$  in order to get the desired assertion.

Next, let  $\mathcal{W}^\circ \subseteq \mathcal{S}_\pm^\circ$  be compact. Suppose by contradiction that there is a sequence  $\{w_n^\pm\}_{n \in \mathbb{N}} \subseteq \mathcal{W}^\circ$  such that  $t_n := t_{w_n^\pm} \rightarrow +\infty$ . By (i), we know that  $J_\lambda(t_n w_n^\pm) = \max_{t \in [0, +\infty)} J_\lambda(t w_n^\pm) \geq 0$ .

Using  $\|\cdot\|_{1,q}^q \leq C_{pq} \|\cdot\|_{1,p}^q$  along with (H2)(iii), we deduce that

$$0 \leq \frac{J_\lambda(t_n w_n^\pm)}{t_n^p} \leq \frac{1}{p} + \frac{\mu C_{pq}}{q} - \int_{\Omega_\lambda} \frac{F(t_n w_n^\pm)}{t_n^p} dx \rightarrow -\infty \quad \text{as } n \rightarrow \infty,$$

which yields a contradiction. Thus there exists  $C_{\mathcal{W}^\circ}$  such that  $t_{w^\pm} \leq C_{\mathcal{W}^\circ}$ .  $\square$

We define

$$\hat{m}_\pm : \{w^\pm : w \in W_0^{1,p}(\Omega_\lambda)^\circ \setminus \{0\}\} \rightarrow \mathcal{N}_\pm^\circ, \quad w^\pm \mapsto \hat{m}_\pm(w^\pm) := t_{w^\pm} w^\pm,$$

where  $t_{w^\pm}$  is defined in Lemma 2.3. For simplification we write  $m_\pm := \hat{m}_\pm|_{\mathcal{S}_\pm^\circ}$ . Next, we are going to prove that  $m_\pm$  is a one-to-one correspondence between  $\mathcal{S}_\pm^\circ$  and  $\mathcal{N}_\pm^\circ$ .

**Lemma 2.4.** *Let hypotheses (H1) and (H2) be satisfied.*

- (i) *The mapping  $\hat{m}_\pm$  is continuous.*
- (ii) *The mapping  $m_\pm$  is a homeomorphism between  $\mathcal{S}_\pm^\circ$  and  $\mathcal{N}_\pm^\circ$  and the inverse of  $m_\pm$  is given by*

$$m_\pm^{-1}(u^\pm) = \frac{u^\pm}{\|u^\pm\|_{1,p}} \quad \text{for all } u \in \mathcal{N}_\pm^\circ$$

*Proof.* (i) Assume that  $w_n^\pm \rightarrow w^\pm$ . From Lemma 2.3 (ii) it follows that  $\{t_{w_n^\pm}\}_{n \in \mathbb{N}}$  is uniformly bounded. Hence, there exists a subsequence of  $\{t_{w_n^\pm}\}_{n \in \mathbb{N}}$ , not relabeled, which converges to a limit  $t_0$ . From (2.4) we conclude that  $t_0 = t_{w^\pm}$ . But then  $t_{w_n^\pm} \rightarrow t_{w^\pm}$ . Thus  $\hat{m}_\pm$  is continuous.

(ii) From (i) we know that  $m_\pm(\mathcal{S}_\pm^\circ)$  is a bounded set in  $W_0^{1,p}(\Omega_\lambda)$  and for any  $u^\pm \in m_\pm(\mathcal{S}_\pm^\circ) \subseteq \mathcal{N}_\pm^\circ$ , there exists  $\delta > 0$  such that  $\|u^\pm\|_{1,p} \geq \delta$ . Indeed, similar to the proof of Lemma 2.3 (i), by using  $u \in \mathcal{N}_\pm^\circ \subseteq \mathcal{N}_\lambda$ , (2.3) and the embeddings  $W_0^{1,q}(\Omega_\lambda) \rightarrow L^q(\Omega_\lambda)$ ,  $W_0^{1,p}(\Omega_\lambda) \rightarrow L^r(\Omega_\lambda)$  with embedding constants  $C_q, C_p > 0$  we have

$$\begin{aligned} \|u^\pm\|_{1,p}^p + \mu \|\nabla u^\pm\|_q^q &= \int_{\Omega_\lambda} f(u^\pm) u^\pm dx \leq \varepsilon \int_{\Omega_\lambda} |u^\pm|^q dx + C_\varepsilon \int_{\Omega_\lambda} |u^\pm|^r dx \\ &\leq C_q^q \varepsilon \|\nabla u^\pm\|_q^q + C_p^r C_\varepsilon \|u^\pm\|_{1,p}^r. \end{aligned}$$

Choosing  $\varepsilon > 0$  small enough, we obtain from this

$$\|u^\pm\|_{1,p}^p \leq \|u^\pm\|_{1,p}^p + (\mu - C_q^q \varepsilon) \|\nabla u^\pm\|_q^q \leq C_p^r C_\varepsilon \|u^\pm\|_{1,p}^r.$$

Taking  $\delta = 2 \left( \frac{1}{C_p^r C_\varepsilon} \right)^{\frac{1}{r-p}} > 0$  we have  $\|u^\pm\|_{1,p} \geq \delta$ . From the continuity of  $\hat{m}_\pm$  and its definition, we know that the map  $m_\pm : \mathcal{S}_\pm^\circ \rightarrow \mathcal{N}_\pm^\circ$  is continuous and one-to-one. It is clear that the inverse function of  $m_\pm$  is given by  $m_\pm^{-1}(u^\pm) = \frac{u^\pm}{\|u^\pm\|_{1,p}}$  for any  $u^\pm \in \mathcal{N}_\pm^\circ$ . To reach the desired conclusion, it is enough to show that  $m_\pm^{-1}$  is continuous. Indeed, we have

$$\begin{aligned} \|m_\pm^{-1}(u^\pm) - m_\pm^{-1}(v^\pm)\|_{1,p} &= \left\| \frac{u^\pm}{\|u^\pm\|_{1,p}} - \frac{v^\pm}{\|v^\pm\|_{1,p}} \right\|_{1,p} \\ &= \left\| \frac{u^\pm - v^\pm}{\|u^\pm\|_{1,p}} + \frac{v^\pm (\|v^\pm\|_{1,p} - \|u^\pm\|_{1,p})}{\|u^\pm\|_{1,p} \|v^\pm\|_{1,p}} \right\|_{1,p} \\ &\leq \frac{2\|u^\pm - v^\pm\|_{1,p}}{\|u^\pm\|_{1,p}} \leq \frac{2}{\delta} \|u^\pm - v^\pm\|_{1,p}, \end{aligned}$$

that is,  $m_{\pm}^{-1}$  is Lipschitz continuous.  $\square$

We write  $\hat{\Psi}(w^{\pm}) := J_{\lambda}(\hat{m}_{\pm}(w^{\pm}))$ . In the next lemma, we are going to show that the problem of finding critical points of  $\hat{\Psi}|_{\mathcal{S}_{\pm}^{\circ}}$  is equivalent to the problem of finding critical points of  $J_{\lambda}|_{\mathcal{N}_{\pm}^{\circ}}$ . Recall that a sequence  $\{u_n\}_{n \in \mathbb{N}} \subseteq \mathcal{M}$  is called a  $(\text{PS})_c$ -sequence if  $J(u_n) \rightarrow c$  and  $J'(u_n) \rightarrow 0$ . We say that  $J_{\lambda}$  satisfies the  $(\text{PS})_c$ -condition on  $\mathcal{M}$ , if every  $(\text{PS})_c$ -sequence has a converging subsequence.

**Lemma 2.5.** *Let hypotheses (H1) and (H2) be satisfied.*

- (i)  $\hat{\Psi} \in C^1\left(\left\{w^{\pm} : w \in W_0^{1,p}(\Omega_{\lambda})^{\circ} \setminus \{0\}\right\}, \mathbb{R}\right)$  and
- $$\left\langle \hat{\Psi}'(w^{\pm}), z \right\rangle = \left\langle J'_{\lambda}(m_{\pm}(w^{\pm})), \|m_{\pm}(w^{\pm})\|_{1,p} z \right\rangle \quad \text{for all } w^{\pm} \in \mathcal{S}_{\pm}^{\circ} \text{ and for all } z \in T_{w^{\pm}}(\mathcal{S}_{\pm}^{\circ}),$$
- where  $T_{w^{\pm}}(\mathcal{S}_{\pm}^{\circ})$  denote the tangent space to  $\mathcal{S}_{\pm}^{\circ}$  at  $w^{\pm}$ .
- (ii) *If  $\{w_n^{\pm}\}_{n \in \mathbb{N}} \subseteq \mathcal{S}_{\pm}^{\circ}$  is a  $(\text{PS})_c$ -sequence for  $\hat{\Psi}$ , then  $\{m_{\pm}(w_n^{\pm})\}_{n \in \mathbb{N}} \subseteq \mathcal{N}_{\pm}^{\circ}$  is a  $(\text{PS})_c$ -sequence for  $J_{\lambda}$ . If  $\{u_n\}_{n \in \mathbb{N}} \subseteq \mathcal{N}_{\pm}^{\circ}$  is a bounded  $(\text{PS})_c$ -sequence for  $J_{\lambda}$ , then  $\{m_{\pm}^{-1}(u_n)\}_{n \in \mathbb{N}} \subseteq \mathcal{S}_{\pm}^{\circ}$  is a  $(\text{PS})_c$ -sequence for  $\hat{\Psi}$ .*
- (iii)  $w^{\pm} \in \mathcal{S}_{\pm}^{\circ}$  is a critical point of  $\hat{\Psi}$  if and only if  $m_{\pm}(w^{\pm}) \in \mathcal{N}_{\pm}^{\circ}$  is a nontrivial critical point of  $J_{\lambda}$ . Moreover,  $\inf_{\mathcal{S}_{\pm}^{\circ}} \hat{\Psi} = \inf_{\mathcal{N}_{\pm}^{\circ}} J_{\lambda}$ .
- (iv) *If  $J_{\lambda}$  is even, then so is  $\hat{\Psi}$ .*

*Proof.* The lemma follows from Szulkin-Weth [25, Proposition 9 and Corollary 10] and Lemmas 2.3 and 2.4. We omit the details.  $\square$

**Remark 2.6.**

- (i) *Set*

$$c^{\circ}(\Omega_{\lambda}) = \inf_{u \in \mathcal{N}_{\lambda}^{\circ}} J_{\lambda}(u).$$

*Then it follows from Lemma 2.5 (iii) that*

$$c^{\circ}(\Omega_{\lambda}) = \inf_{w \in \mathcal{S}^{\circ}} \hat{\Psi}(w).$$

*From Lemmas 2.3 and 2.4 it is easy to see that  $c^{\circ}(\Omega_{\lambda})$  has the following minimax characterization:*

$$c^{\circ}(\Omega_{\lambda}) = \inf_{w \in W_0^{1,p}(\Omega_{\lambda})^{\circ} \setminus \{0\}} \max_{t > 0} J_{\lambda}(tw) = \inf_{w \in \mathcal{S}^{\circ}} \max_{t > 0} J_{\lambda}(tw).$$

*We know from the proof of Lemma 2.3 that there exists a unique  $t_w > 0$  such that  $\max_{t > 0} J_{\lambda}(tw) = J(t_w w)$  for  $w \in \mathcal{S}^{\circ}$ . Lemma 2.3 (ii) implies that there exists  $\delta > 0$  such that  $t_w \geq \delta$  uniformly for  $w \in \mathcal{S}^{\circ}$ . Thus, for any  $w \in \mathcal{S}^{\circ}$ , we have*

$$J(t_w w) = \max_{t > 0} J_{\lambda}(tw) \geq \sigma,$$

*for some  $\sigma > 0$  independent of  $w$  and consequently*

$$\inf_{w \in \mathcal{S}^{\circ}} \max_{t > 0} J_{\lambda}(tw) \geq \sigma,$$

*that is*

$$c^{\circ}(\Omega_{\lambda}) \geq \sigma > 0.$$

- (ii) *Set*

$$c(\Omega_{\lambda}) = \inf_{u \in \mathcal{N}_{\lambda}} J_{\lambda}(u). \tag{2.6}$$

*By an argument similar to that of (i), we can show that  $c(\Omega_{\lambda}) > 0$ . We can also show that  $c^{\circ}(\Omega_{\lambda}) \geq 2c(\Omega_{\lambda})$ . It is similar to the proof of Lemma 3.2 and we omit it.*

## 3. (PS)-CONDITION AND SOME ESTIMATES

Our first result is that  $\hat{\Psi}$  satisfies the (PS)-condition on  $\mathcal{S}_\pm^\circ$ . We set

$$I_\lambda(u) = \frac{1}{p} \|u\|_{1,p}^p + \frac{\mu}{q} \|\nabla u\|_q^q \quad \text{and} \quad K_\lambda(u) = \int_{\Omega_\lambda} F(u) dx.$$

Then  $J_\lambda(u) = I_\lambda(u) - K_\lambda(u)$ . We denote the derivative operator of  $I_\lambda$  in the weak sense by  $A_\lambda$ . It is well known that the operator  $A_\lambda$  is of type  $(S_+)$ . We also denote by  $\partial\mathcal{S}_\pm^\circ$  the boundary of  $\mathcal{S}_\pm^\circ$ .

**Lemma 3.1.** *Let hypotheses (H1) and (H2) be satisfied.*

- (i) *Let  $\{w_n^\pm\}_{n \in \mathbb{N}} \subseteq \mathcal{S}_\pm^\circ$  be a sequence such that  $\text{dist}(w_n^\pm, \partial\mathcal{S}_\pm^\circ) \rightarrow 0$  as  $n \rightarrow +\infty$ . Then  $\|m(w_n^\pm)\| \rightarrow +\infty$  and  $\hat{\Psi}(w_n^\pm) \rightarrow +\infty$  as  $n \rightarrow +\infty$ .*
- (ii) *For any  $\lambda > 0$ ,  $\hat{\Psi}$  satisfies the (PS)-condition on  $\mathcal{S}_\pm^\circ$ .*

*Proof.* (i) Recall that we denote  $u^+$  (resp.  $u^-$ ) the positive (resp. negative) part of  $u$ , given in (2.1) and write

$$\mathcal{S}_\pm^\circ = \{u^\pm : u \in \mathcal{S}^\circ\}.$$

Let  $w \in \mathcal{S}_\pm^\circ$  and  $\gamma \in [1, p^*]$ . By the embedding theorem, we have

$$\begin{aligned} \|w^+\|_{L^\gamma(\Omega_\lambda)} &= \inf_{v \in \mathcal{S}_\pm^\circ} \|w - v\|_{L^\gamma(\Omega_\lambda)} \leq \inf_{v \in \partial\mathcal{S}_\pm^\circ} \|w - v\|_{L^\gamma(\Omega_\lambda)} \\ &\leq C_\gamma \inf_{v \in \partial\mathcal{S}_\pm^\circ} \|w - v\|_{1,p} = C_\gamma \text{dist}(w, \partial\mathcal{S}_\pm^\circ). \end{aligned}$$

Here we denote by  $\overline{\mathcal{S}_\pm^\circ}$  the closure of  $\mathcal{S}_\pm^\circ$ .

Similarly, it holds

$$\|w^-\|_{L^\gamma(\Omega_\lambda)} \leq C_\gamma \text{dist}(w, \partial\mathcal{S}_\pm^\circ).$$

Let  $\{w_n\}_{n \in \mathbb{N}} \subseteq \mathcal{S}_\pm^\circ$  be a sequence such that  $\text{dist}(w_n, \partial\mathcal{S}_\pm^\circ) \rightarrow 0$  as  $n \rightarrow +\infty$  and let

$$\begin{aligned} \Omega_\lambda^> &= \{x \in \Omega_\lambda : w_n(x) > 0\}, \\ \Omega_\lambda^< &= \{x \in \Omega_\lambda : w_n(x) < 0\}, \\ \Omega_\lambda^= &= \{x \in \Omega_\lambda : w_n(x) = 0\}. \end{aligned}$$

For every  $t > 0$ , using (2.2), we have

$$\begin{aligned} |K_\lambda(tw_n)| &= \left| \int_{\Omega_\lambda^<} F(tw_n) dx + \int_{\Omega_\lambda^>} F(tw_n) dx + \int_{\Omega_\lambda^=} F(tw_n) dx \right| \\ &= \left| \int_{\Omega_\lambda} F(tw_n^+) dx + \int_{\Omega_\lambda} F(tw_n^-) dx \right| \\ &\leq \varepsilon t^q \left( \|w_n^+\|_{L^q(\Omega_\lambda)}^q + \|w_n^-\|_{L^q(\Omega_\lambda)}^q \right) + C_\varepsilon t^r \left( \|w_n^+\|_{L^r(\Omega_\lambda)}^r + \|w_n^-\|_{L^r(\Omega_\lambda)}^r \right) \\ &\leq C [t^q (\text{dist}(w_n, \partial\mathcal{S}_\pm^\circ))^q + t^r (\text{dist}(w_n, \partial\mathcal{S}_\pm^\circ))^r] \rightarrow 0 \text{ as } n \rightarrow +\infty. \end{aligned}$$

Note that for any  $t > 1$ ,

$$\left( \frac{1}{p} + \frac{\mu C_{pq}}{q} \right) \|tw_n\|_{1,p}^p + |K_\lambda(tw_n)| \geq J_\lambda(tw_n) \geq \frac{1}{p} \|tw_n\|_{1,p}^p - |K_\lambda(tw_n)| = \frac{t^p}{p} - |K_\lambda(tw_n)|.$$

Consequently

$$\liminf_{n \rightarrow +\infty} \left( \frac{1}{p} + \frac{\mu C_{pq}}{q} \right) \|m(w_n)\|_{1,p}^p \geq \liminf_{n \rightarrow +\infty} \hat{\Psi}(w_n) \geq \liminf_{n \rightarrow +\infty} J_\lambda(tw_n) \geq \frac{t^p}{p}$$

for every  $t > 1$ . Hence,  $\|m(w_n)\| \rightarrow +\infty$  and  $\hat{\Psi}(w_n) \rightarrow +\infty$  as  $n \rightarrow +\infty$ .

(ii) For any  $c > 0$ , let  $\{w_n^\pm\}_{n \in \mathbb{N}} \subseteq \mathcal{S}_\pm^\circ$  be a  $(\text{PS})_c$ -sequence for  $\hat{\Psi}$ . Let  $u_n^\pm := m_\pm(w_n^\pm)$  for all  $n \in \mathbb{N}$ . It follows from Lemma 2.5 that  $\{u_n^\pm\}_{n \in \mathbb{N}} \subseteq \mathcal{N}_\pm^\circ$  is a  $(\text{PS})_c$ -sequence for  $J_\lambda$ . First we will prove that  $\{u_n^\pm\}_{n \in \mathbb{N}}$  is bounded. Let us assume this is not the case, so there exists a

subsequence (still denoted by  $u_n^\pm$ ) such that  $\|u_n^\pm\|_{1,p} \rightarrow +\infty$ . We define  $v_n^\pm := \frac{u_n^\pm}{\|u_n^\pm\|_{1,p}}$ , then  $\|v_n^\pm\|_{1,p} = 1$ . Thus we may assume that

$$v_n^\pm \rightharpoonup v^\pm \quad \text{in } W_0^{1,p}(\Omega_\lambda).$$

If  $v^\pm = 0$ , then it follows from Lemma 2.3 and Remark 2.6 that

$$c + o(1) \geq J_\lambda(u_n^\pm) = J_\lambda(t_{v_n^\pm} v_n^\pm) \geq J_\lambda(t v_n^\pm) \quad \text{for all } t > 0.$$

Recalling that  $K_\lambda$  is weakly continuous, we have that

$$J_\lambda(t v_n^\pm) \geq \frac{1}{p} t^p - \int_{\Omega_\lambda} F(t v_n^\pm) dx \rightarrow \frac{1}{p} t^p \quad \text{as } n \rightarrow +\infty.$$

Choosing  $t > 2(pc)^{\frac{1}{p}}$  yields a contradiction. If  $v^\pm \neq 0$ , then we know from (H2)(iii) that

$$0 \leq \frac{J_\lambda(u_n^\pm)}{\|u_n^\pm\|_{1,p}^p} \leq \frac{1}{p} + \frac{\mu C_{pq}}{q} - \int_{\Omega_\lambda} \frac{F(\|u_n^\pm\|_{1,p} v_n^\pm)}{\|u_n^\pm\|_{1,p}^p} dx \rightarrow -\infty \quad \text{as } n \rightarrow +\infty.$$

This is again a contradiction. Hence  $\{u_n^\pm\}_{n \in \mathbb{N}}$  is bounded in  $W^{1,p}(\Omega_\lambda)$  and so there exists a subsequence of  $\{u_n^\pm\}_{n \in \mathbb{N}}$  (not relabeled) such that

$$u_n^\pm \rightharpoonup u^\pm \quad \text{in } W_0^{1,p}(\Omega_\lambda).$$

It is clear that  $K'_\lambda(u_n^\pm) \rightarrow K'_\lambda(u^\pm)$ , see Liu-Dai [22]. Since

$$J'_\lambda(u_n^\pm) = A_\lambda(u_n^\pm) - K'_\lambda(u_n^\pm) \rightarrow 0 \quad \text{as } n \rightarrow +\infty,$$

one has

$$A_\lambda(u_n^\pm) \rightarrow K'_\lambda(u^\pm) \quad \text{as } n \rightarrow +\infty.$$

Therefore, we conclude that  $u_n^\pm \rightarrow u^\pm$  since  $A_\lambda$  is a mapping of type  $(S_+)$ . Consequently,  $m_\pm^{-1}(u_n^\pm) \rightarrow m_\pm^{-1}(u^\pm)$  by Lemma 2.4, that is,  $w_n^\pm \rightarrow w^\pm$ . Therefore,  $\hat{\Psi}$  satisfies the (PS) $_c$ -condition on  $S_\pm^\circ$ .  $\square$

We say that  $u$  changes sign  $m$  times if the set  $\{x \in \Omega_\lambda : u(x) \neq 0\}$  has  $m + 1$  connected components. It is clear that a solution of problem (1.1) changes sign an odd number of times. Following the ideas of Castro-Clapp [14], we can show the following energy estimate.

**Lemma 3.2.** *Let hypotheses (H1) and (H2) be satisfied. If  $u$  is a solution of problem (1.1) which changes sign  $2m - 1$  times, then  $J_\lambda(u) \geq mc^\circ(\Omega_\lambda)$ .*

*Proof.* From the assumptions we know that the set  $\{x \in \Omega : u(x) > 0\}$  has  $m$  connect components  $\Omega_1, \Omega_2, \dots, \Omega_m$ . Let

$$u_i(x) = \begin{cases} u(x), & \text{if } x \in -\Omega_i \cup \Omega_i, \\ 0, & \text{otherwise.} \end{cases}$$

Since  $u$  is a solution of problem (1.1), it is a critical point of  $J_\lambda$ . This gives

$$\begin{aligned} 0 &= \langle J'_\lambda(u), u_i \rangle \\ &= \int_{\Omega_\lambda} (|\nabla u|^{p-2} \nabla u \cdot \nabla u_i + |u|^{p-2} u u_i) dx + \mu \int_{\Omega_\lambda} |\nabla u|^{q-2} \nabla u \cdot \nabla u_i dx - \int_{\Omega_\lambda} f(u) u_i dx \\ &= \|u_i\|_{1,p}^p + \mu \|\nabla u_i\|_{1,q}^q - \int_{\Omega_\lambda} f(u_i) u_i dx, \end{aligned}$$

which implies that  $u_i \in \mathcal{N}_\lambda^\circ$  for all  $i = 1, 2, \dots, m$ . Consequently

$$J_\lambda(u) = J_\lambda(u_1) + J_\lambda(u_2) + \dots + J_\lambda(u_m) \geq mc^\circ(\Omega_\lambda).$$

$\square$



We denote the limiting energy functional by

$$J_\infty(u) := \int_{\mathbb{R}^N} \left( \frac{1}{p} |\nabla u|^p + \frac{1}{p} |u|^p + \frac{\mu}{q} |\nabla u|^q - F(u) \right) dx.$$

The corresponding Nehari manifold is

$$\mathcal{N}_\infty := \{u \in W_r^{1,p}(\mathbb{R}^N) \setminus \{0\} : \langle J'_\infty(u), u \rangle = 0\},$$

where

$$W_r^{1,p}(\mathbb{R}^N) := \{u \in W^{1,p}(\mathbb{R}^N) : u \text{ is radially symmetric}\}.$$

The least energy level is given by

$$0 < c(\mathbb{R}^N) := \inf_{u \in \mathcal{N}_\infty} J_\infty(u).$$

**Lemma 3.3.** *Let hypotheses (H1) and (H2) be satisfied. Then  $c(\mathbb{R}^N)$  is achieved by a positive radially symmetric function.*

*Proof.* We define

$$f^+(t) = \begin{cases} 0 & \text{if } t \leq 0, \\ f(t) & \text{if } t > 0 \end{cases}$$

with primitive  $F^+(s) = \int_0^s f^+(t) dt$ . We set

$$J_\infty^+(u) := \int_{\mathbb{R}^N} \left( \frac{1}{p} |\nabla u|^p + \frac{1}{p} |u|^p + \frac{\mu}{q} |\nabla u|^q - F^+(u) \right) dx \quad \text{for all } u \in W_r^{1,p}(\mathbb{R}^N).$$

It is clear that (H2) remain valid for  $f^+$  and  $F^+$ . Similar to the proof of Lemma 2.3, we can define

$$\hat{m} : W_r^{1,p}(\mathbb{R}^N) \setminus \{0\} \rightarrow \mathcal{N}_\infty, \quad w \mapsto \hat{m}(w) := t_w w,$$

where  $t_w$  is similar to the definition in the proof of Lemma 2.3. We set  $m := \hat{m}|_{\mathcal{S}}$  and can show that  $m$  is a one-to-one correspondence between  $\mathcal{S}$  and  $\mathcal{N}_\infty$ , where

$$\mathcal{S} = \{w \in W_r^{1,p}(\mathbb{R}^N) : \|w\|_{1,p} = 1\}.$$

Setting  $\hat{\Psi}_\infty^+(w) := J_\infty^+(\hat{m}(w))$  we can show that  $\hat{\Psi}_\infty^+$  satisfies the (PS)-condition on  $\mathcal{S}$  as in Lemma 3.1(ii), since  $W_r^{1,p}(\mathbb{R}^N) \hookrightarrow L^\gamma(\mathbb{R}^N)$  is compact for all  $\gamma \in (p, p^*)$ . Therefore, it follows from Theorem 1 in Szulkin-Weth [25] that  $\inf_{\mathcal{S}} \hat{\Psi}_\infty^+$  is attained by a function  $w \in W_r^{1,p}(\mathbb{R}^N)$ . Just like Lemma 2.5 (iii), we are able to show that  $\inf_{\mathcal{S}} \hat{\Psi}_\infty^+ = \inf_{\mathcal{N}_\infty} J_\infty^+$ , that is,  $\inf_{\mathcal{N}_\infty} J_\infty^+$  is attained by  $m(w)$ , which is obviously radially symmetric. By an argument similar to that in the proof of Theorem 1.4 of the first two authors [23], we can also prove that  $m(w)$  is positive.  $\square$

We also need the auxiliary functional which is defined as in (1.2) replacing  $\Omega_\lambda$  by  $B_R := B_R(0)$  with  $R > 0$ , that is,

$$J_R(u) = \int_{B_R} \left( \frac{1}{p} |\nabla u|^p + \frac{1}{p} |u|^p + \frac{\mu}{q} |\nabla u|^q - F(u) \right) dx.$$

The corresponding Nehari manifold is denoted by

$$\mathcal{N}_R := \{u \in W_0^{1,p}(B_R) \setminus \{0\} : \langle J'_R(u), u \rangle = 0\}.$$

We write

$$c(B_R) := \inf_{u \in \mathcal{N}_R} J_R(u). \tag{3.1}$$

Then  $c(B_R)$  is achieved by a positive radially symmetric function  $\Psi_R$ . Indeed, similar to the proof of Lemma 3.3, we can show that  $c(B_R)$  is attained by a positive function  $v \in W_0^{1,p}(B_R)$ .

Let  $v^*$  be the Schwartz symmetrization of  $v$ , then we have that  $v^* \in W_0^{1,p}(B_R)$  and

$$\begin{aligned} \int_{B_R} \left( \frac{1}{p} |\nabla v^*|^p + \frac{\mu}{q} |\nabla v^*|^q \right) dx &\leq \int_{B_R} \left( \frac{1}{p} |\nabla v|^p + \frac{\mu}{q} |\nabla v|^q \right) dx, \\ \int_{B_R} \frac{1}{p} |v^*|^p dx &= \int_{B_R} \frac{1}{p} |v|^p dx, \\ \int_{B_R} F(v^*) dx &= \int_{B_R} F(v) dx \end{aligned}$$

are satisfied.

Just as in the proof of Lemma 2.3, we can show that there exists a unique  $t_{v^*} > 0$  such that  $t_{v^*} v^* \in \mathcal{N}_R$ . Moreover,

$$c(B_R) \leq J_R(t_{v^*} v^*) \leq J_R(t_{v^*} v) \leq \max_{t \geq 0} J_R(tv) = J_R(v) = c(B_R).$$

Setting  $\Psi_R := t_{v^*} v^*$ , then it has all the required properties. Furthermore, we can determine the asymptotic behavior of  $c(B_R)$ .

**Lemma 3.4.** *Let hypotheses (H1) and (H2) be satisfied and let  $c(B_R)$  and  $c(\Omega_\lambda)$  be defined as in (3.1) and (2.6), respectively. Then it holds*

$$\lim_{R \rightarrow +\infty} c(B_R) = c(\mathbb{R}^N) \quad \text{and} \quad \lim_{\lambda \rightarrow +\infty} c(\Omega_\lambda) = c(\mathbb{R}^N).$$

*Proof.* We only prove the second equality, the other works very similarly.

We follow the ideas of Alves [2] who studied the  $p$ -Laplacian equation. To this end, fix  $\tilde{\lambda} > 0$  and  $R > 0$  such that  $B_R \subseteq \Omega_{\tilde{\lambda}}$ . Let  $\eta_R: [0, +\infty) \rightarrow \mathbb{R}$  be a smooth, nonincreasing cut-off function such that

$$\eta_R(t) = 1 \quad \text{if } 0 \leq t \leq \frac{R}{2}, \quad \eta_R(t) = 0 \quad \text{if } t \geq R, \quad 0 \leq \eta_R \leq 1 \quad \text{and} \quad |\eta_R'(t)| \leq 2.$$

We write  $w_R(x) = \eta_R(x)w(x)$ , where  $w \in \mathcal{N}_\infty$  such that  $J_\infty(w) = c(\mathbb{R}^N)$ . Let  $t_R > 0$  be such that  $t_R w_R \in \mathcal{N}_\lambda$ . Then

$$c(\Omega_\lambda) \leq J_\lambda(t_R w_R) \quad \text{for all } \lambda > \tilde{\lambda}.$$

Passing to the limit as  $\lambda \rightarrow +\infty$  we obtain

$$\limsup_{\lambda \rightarrow +\infty} c(\Omega_\lambda) \leq J_\infty(t_R w_R).$$

As in the proof of Lemma 2.3 we can show that  $t_R \rightarrow 1$  as  $R \rightarrow +\infty$ . Then we have  $J_\infty(t_R w_R) \rightarrow J_\infty(w) = c(\mathbb{R}^N)$  as  $R \rightarrow +\infty$ . Therefore,

$$\limsup_{\lambda \rightarrow +\infty} c(\Omega_\lambda) \leq c(\mathbb{R}^N). \quad (3.2)$$

On the other hand, from the definition of  $c(\Omega_\lambda)$  and  $c(\mathbb{R}^N)$  it follows that

$$c(\mathbb{R}^N) \leq c(\Omega_\lambda) \quad \text{for all } \lambda > 0,$$

which implies that

$$c(\mathbb{R}^N) \leq \liminf_{\lambda \rightarrow +\infty} c(\Omega_\lambda). \quad (3.3)$$

From (3.2) and (3.3) we get the assertion.  $\square$

#### 4. PROOF OF THEOREM 1.1

Now we are ready to prove Theorem 1.1. In what follows, without any loss of generality, we shall assume that  $0 \in \Omega$ . Moreover, we choose  $\tilde{R} \geq \text{diam}(\Omega)$  and  $\tilde{R} > R > 0$  such that  $B_R(0) \subseteq \Omega \subseteq B_{\tilde{R}}(0)$  and the sets

$$\Omega_R^+ := \{x \in \mathbb{R}^N : \text{dist}(x, \Omega) \leq R\} \quad \text{and} \quad \Omega_R^- := \{x \in \Omega : \text{dist}(x, \partial\Omega \cup \{0\}) \geq R\}$$

are homotopically equivalent to  $\Omega$ . For  $\lambda > 0$ , let  $\Psi_{\lambda R} \in \mathcal{N}_{\lambda R}$  be given as in Section 3 satisfying  $J_{\lambda R}(\Psi_{\lambda R}) = c(B_{\lambda R})$ . We define  $\Phi_\lambda: \lambda\Omega_R^- \rightarrow \mathcal{N}_\lambda^\circ$  by

$$[\Phi_\lambda(\xi)](x) = \begin{cases} t_\lambda [\Psi_{\lambda R}(|x - \xi|) - \Psi_{\lambda R}(|x + \xi|)], & \text{if } x \in B_{\lambda R}(\xi), \\ 0, & \text{if } x \in \Omega_\lambda \setminus B_{\lambda R}(\xi), \end{cases}$$

where  $t_\lambda > 0$  is such that  $\Phi_\lambda(\xi) \in \mathcal{N}_\lambda^\circ$ . Note that

$$[\Phi_\lambda(\xi)](-x) = -[\Phi_\lambda(\xi)](x) \quad \text{and} \quad \Phi_\lambda(-\xi) = -\Phi_\lambda(\xi).$$

Hence  $\Phi_\lambda(\xi)^\pm \in \mathcal{N}_\pm^\circ$ .

Then we have the following lemma.

**Lemma 4.1.** *Let hypotheses (H1) and (H2) be satisfied. Then we have*

$$\lim_{\lambda \rightarrow +\infty} J_\lambda(\Phi_\lambda(\xi)^\pm) = c(\mathbb{R}^N)$$

uniformly in  $\xi \in \lambda\Omega_R^-$ .

*Proof.* For any  $\xi \in \lambda\Omega_R^-$ , by the definition of  $\lambda\Omega_R^-$ , we have  $|\xi| \geq \lambda R$  and  $|-\xi| \geq \lambda R$ , and so  $|\xi - (-\xi)| \geq 2\lambda R$ . Following the same arguments as in the proofs of Lemmas 2.3 and 3.2 as well as Remark 2.6, it is easy to see that

$$\begin{aligned} c(\Omega_\lambda) &\leq J_\lambda(\Phi_\lambda(\xi)^\pm) = \begin{cases} J_\lambda(t_\lambda \Psi_{\lambda R}(|x - \xi|)) \\ J_\lambda(-t_\lambda \Psi_{\lambda R}(|x + \xi|)) \end{cases} \\ &= J_\lambda(t_\lambda \Psi_{\lambda R}(|x|)) \leq J_\lambda(\Psi_{\lambda R}(|x|)) = c(B_{\lambda R}). \end{aligned}$$

Here we have used translation invariance of the Lebesgue integral in the second equality. From Lemma 3.4 we then deduce that

$$\lim_{\lambda \rightarrow +\infty} c(B_{\lambda R}) = \lim_{\lambda \rightarrow +\infty} c(\Omega_\lambda) = c(\mathbb{R}^N)$$

Hence the assertion of the lemma follows.  $\square$

Given  $\xi \in \lambda\Omega_R^-$ , we set

$$h(\lambda) := |J_\lambda(\Phi_\lambda(\xi)^\pm) - c(\mathbb{R}^N)|.$$

From Lemma 4.1 we conclude that  $h(\lambda) \rightarrow 0$  as  $\lambda \rightarrow +\infty$ . We define the sublevel set

$$\widetilde{\mathcal{N}}_\pm^\circ = \{u \in \mathcal{N}_\pm^\circ : J_\lambda(u) \leq c(\mathbb{R}^N) + h(\lambda)\}.$$

It is clear that  $\Phi_\lambda(\xi)^\pm \in \widetilde{\mathcal{N}}_\pm^\circ$  which implies  $\widetilde{\mathcal{N}}_\lambda^\circ \neq \emptyset$  for any  $\lambda > 0$ .

For  $u \in W^{1,p}(\mathbb{R}^N)$  with compact support in  $B_R(0)$ , we define the barycenter map

$$\begin{aligned} \beta_+ : W^{1,p}(\mathbb{R}^N) \setminus \{0\} &\rightarrow \mathbb{R}^N, & \beta_+(u) &= \frac{\int_{\mathbb{R}^N} x |u^+(x)|^p dx}{\int_{\mathbb{R}^N} |u^+(x)|^p dx}, \\ \beta_- : W^{1,p}(\mathbb{R}^N) \setminus \{0\} &\rightarrow \mathbb{R}^N, & \beta_-(u) &= \frac{\int_{\mathbb{R}^N} x |u^-(x)|^p dx}{\int_{\mathbb{R}^N} |u^-(x)|^p dx}. \end{aligned} \tag{4.1}$$

*Proof of Theorem 1.1.* From Lemmas 4.1 and 2.5 we know that

$$\lim_{\lambda \rightarrow +\infty} \hat{\Psi}(m^{-1}(\Phi_\lambda(\xi)^\pm)) = \lim_{\lambda \rightarrow +\infty} J_\lambda(\Phi_\lambda(\xi)^\pm) = c(\mathbb{R}^N)$$

uniformly in  $\xi \in \lambda\Omega_R^-$ . We set

$$\widetilde{\mathcal{S}}_\pm^\circ := \{u \in \mathcal{S}_\pm^\circ : \hat{\Psi}(u) \leq c(\mathbb{R}^N) + h(\lambda)\},$$

where  $h$  is given in the definition of  $\widetilde{\mathcal{N}}_{\pm}^{\circ}$ . It is clear that  $\widetilde{\mathcal{S}}_{\pm}^{\circ} \neq \emptyset$  since  $m_{\pm}^{-1}(\Phi_{\lambda}(\xi)^{\pm}) \in \widetilde{\mathcal{S}}_{\pm}^{\circ}$ . From Lemma 3.1 and Krasnosel'skii's genus theory, see for example Ambrosetti-Malchiodi [6, Theorem 10.9], it follows that  $\widehat{\Psi}$  has at least  $\gamma(\widetilde{\mathcal{S}}_{\pm}^{\circ})$  pairs of critical points on  $\widetilde{\mathcal{S}}_{\pm}^{\circ}$ .

We claim that  $\gamma(\widetilde{\mathcal{S}}_{\pm}^{\circ}) \geq 2\gamma(\Omega_{\lambda} \setminus \{0\})$ . Indeed, suppose that  $\gamma(\widetilde{\mathcal{S}}_{\pm}^{\circ}) = 2n$ . For a set  $A$ , we denote  $A^* = \{(x, -x) : x \in A\}$ . From Theorem 3.9 of Rabinowitz [24] it follows that

$$\gamma(\widetilde{\mathcal{S}}_{\pm}^{\circ}) = \text{cat}_{(W_0^{1,p}(\Omega_{\lambda}) \setminus \{0\})^*} \widetilde{\mathcal{S}}_{\pm}^{\circ*}.$$

Therefore, there exists a smallest positive integer  $n$  such that

$$\widetilde{\mathcal{S}}_{\pm}^{\circ*} \subseteq \mathcal{D}_{\pm 1}^* \cup \mathcal{D}_{\pm 2}^* \cup \dots \cup \mathcal{D}_{\pm n}^*,$$

where  $\mathcal{D}_{\pm i}^*$ ,  $i = 1, 2, \dots, n$  are closed and contractible in  $(W_0^{1,p}(\Omega_{\lambda}) \setminus \{0\})^*$ , that is, there exist

$$h_i^* \in C\left([0, 1] \times \mathcal{D}_{\pm i}^*, \left(W_0^{1,p}(\Omega_{\lambda}) \setminus \{0\}\right)^*\right) \quad \text{for } i = 1, 2, \dots, n$$

such that

$$\begin{aligned} h_i^*(0, u^{\pm}) &= (u^{\pm}, -u^{\pm}) \quad \text{for all } (u^{\pm}, -u^{\pm}) \in \mathcal{D}_{\pm i}^*, \\ h_i^*(1, u^{\pm}) &= (\omega_i^{\pm}, -\omega_i^{\pm}) \in \left(W_0^{1,p}(\Omega_{\lambda}) \setminus \{0\}\right)^* \quad \text{for all } (u^{\pm}, -u^{\pm}) \in \mathcal{D}_{\pm i}^*. \end{aligned}$$

Here we have used the fact that  $-u^{\pm}(x) = u^{\mp}(-x) \in \mathcal{D}_{\pm i}^*$ .

Let

$$\mathcal{D}_i = \left\{ u^{\pm} \in W_0^{1,p}(\Omega_{\lambda}) : (u^{\pm}, -u^{\pm}) \in \mathcal{D}_i^* \right\}.$$

Then there exists a homotopy

$$h_i \in C\left([0, 1] \times \mathcal{D}_i, \left(W_0^{1,p}(\Omega_{\lambda}) \setminus \{0\}\right)\right)$$

such that  $h_i(0, \cdot) = \text{id}$ ,  $h_i(1, \cdot) = \omega_i^{\pm}$  or  $-\omega_i^{\pm}$  and  $h_i(t, u^{\pm}) = -h_i(t, -u^{\pm})$ .

We define  $\Phi_{\lambda}^* = (\Phi_{\lambda}^{\pm}, -\Phi_{\lambda}^{\pm}) : (\lambda\Omega_R^-)^* \rightarrow (\mathcal{N}_{\pm}^{\circ})^*$  by

$$[\Phi_{\lambda}^*(\xi, -\xi)](x) = ([\Phi_{\lambda}^{\pm}(\xi)](x), -[\Phi_{\lambda}^{\pm}(\xi)](x)) = ([\Phi_{\lambda}(\xi)^{\pm}](x), [\Phi_{\lambda}(-\xi)^{\mp}](x)).$$

Note that for any  $(\xi, -\xi) \in (\lambda\Omega_R^-)^*$  we have

$$\beta_{\pm}(\Phi_{\lambda}(\xi)^{\pm}) = \xi \quad \text{and} \quad \beta_{\mp}(\Phi_{\lambda}(-\xi)^{\mp}) = -\xi,$$

that is,

$$\beta^*(\Phi_{\lambda}(\xi)^{\pm}, -\Phi_{\lambda}(\xi)^{\pm}) = (\beta_{\pm}(\Phi_{\lambda}(\xi)^{\pm}), \beta_{\mp}(\Phi_{\lambda}(-\xi)^{\mp})) = (\xi, -\xi),$$

where  $\beta^*(\cdot, \cdot) = (\beta_{\pm}(\cdot), \beta_{\mp}(\cdot))$  and  $\beta_{\pm}$  is given in (4.1). We set

$$\mathcal{K}_{\pm i}^* = (\Phi_{\lambda}^*)^{-1}(m^*(\mathcal{D}_{\pm i}^*)),$$

where  $m^*(\cdot, \cdot) = (m_{\pm}(\cdot), m_{\pm}(\cdot))$ . It is clear that  $\mathcal{K}_{\pm i}^*$  are closed subsets of  $(\lambda\Omega_R^- \setminus \{0\})^*$  and  $(\lambda\Omega_R^- \setminus \{0\})^* \subseteq \mathcal{K}_{\pm 1}^* \cup \dots \cup \mathcal{K}_{\pm n}^*$ . Moreover, for  $i = 1, \dots, n$ ,  $\mathcal{K}_{\pm i}^*$  is contractible in  $(\mathbb{R}^N \setminus \{0\})^*$  by using the deformation  $\mathfrak{h}_i : [0, 1] \times \mathcal{K}_{\pm i}^* \rightarrow (\mathbb{R}^N \setminus \{0\})^*$  defined by

$$\mathfrak{h}_i(t, x) = (\beta^* \circ h_i^*)\left(t, (m^*)^{-1}(\Phi_{\lambda}^*(\xi, -\xi))\right).$$

From Lemma 4.1 and the definition of  $\beta^{\pm}$  we conclude that

$$\begin{aligned} \mathfrak{h}_i &\in C\left([0, 1] \times \mathcal{K}_{\pm i}^*, (\mathbb{R}^N \setminus \{0\})^*\right), \\ \mathfrak{h}_i(0, x) &= (\beta^* \circ h_i^*)\left(0, (m^*)^{-1}(\Phi_{\lambda}^*(\xi, -\xi))\right) = (\xi, -\xi) \quad \text{for all } (\xi, -\xi) \in \mathcal{K}_{\pm i}^*, \\ \mathfrak{h}_i(1, x) &= (\beta^* \circ h_i^*)\left(1, (m^*)^{-1}(\Phi_{\lambda}^*(\xi, -\xi))\right) \\ &= \beta^*(\omega_i^{\pm}, -\omega_i^{\pm}) = (\xi_i^0, -\xi_i^0) \in (\mathbb{R}^N \setminus \{0\})^* \quad \text{for all } (\xi, -\xi) \in \mathcal{K}_{\pm i}^*. \end{aligned}$$

Hence

$$\gamma(\Omega_\lambda \setminus \{0\}) = \text{cat}_{(\mathbb{R}^N \setminus \{0\})^*}(\Omega_\lambda \setminus \{0\})^* = \text{cat}_{(\mathbb{R}^N \setminus \{0\})^*}(\lambda\Omega_R^- \setminus \{0\})^* \leq n,$$

which implies that  $\widetilde{S}_\pm^\infty$  contains at least  $2\gamma(\Omega_\lambda \setminus \{0\})$  pairs of critical points of  $\hat{\Psi}$ . Thus we conclude from Lemma 2.5 that there exist at least  $2\gamma(\Omega_\lambda \setminus \{0\})$  pairs  $(u^\pm, -u^\pm)$  of critical points of  $J_\lambda$ . It is clear that  $u = u^+ + u^-$  is odd, and is also the critical point of  $J_\lambda$ , that is, problem (1.1) has at least  $\gamma(\Omega_\lambda \setminus \{0\})$  pairs of odd solutions.  $\square$

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